

07-10-01

A

Express Mail No. EL715973055US  
Docket No. 41473/124196

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the utility patent application of Inventor(s):

Ross A. Caputo, Robert R. Reich, Jr., Robert J. Thrash, Jimmy Fisher, Davoud Khorzad and Thomas F. Cullen

For: **APPARATUS FOR TESTING STERILIZATION METHODS AND MATERIALS**

1. ☒ The application has 53 pages (including claim pages, and abstract).
2. ☒ 14 sheets of drawing are enclosed. The drawings are:
  - a. ☒ formal; or
  - b. ☐ informal; formal drawings will be submitted in due course.
3. ☒ The declaration and power of attorney
  - a. ☒ has been executed by all the inventors; or
  - b. ☐ has not been executed by all the inventors. A signed declaration and power of attorney will be submitted in due course.
4. ☐ An associate power of attorney is enclosed.
5. ☒ An assignment of the invention to **PHARMACEUTICAL SYSTEMS, INC.** and a Recordation Form Cover Sheet are enclosed. Please record the Assignment and return it to the undersigned. A duplicate copy of this paper is enclosed.
  - a. ☒ A check for \$40.00 to cover the recording fee is enclosed. See paragraph 10.c., below.
  - b. ☐ Please charge the recording fee to our Deposit Account No. 02-4467. A duplicate copy of this paper is enclosed.
6. ☐ Priority is hereby claimed under 35 USC 119 based on Appln. No. \_\_\_\_\_, filed \_\_\_\_\_, in the - \_\_\_\_\_ Patent Office.
  - a. ☐ A certified copy of each of the priority documents is enclosed.
  - b. ☐ The certified priority document(s) will follow.

7. ☒ **PHARMACEUTICAL SYSTEMS, INC.**, owner of all right, title, and interest, claims small entity status under 37 CFR Section 1.27.
8. ☒ The filing fee is calculated below.

For	<u>Col. 1 No.</u> Filed		<u>Col. 2 No.</u> Extra	<u>Small Entity</u> Rate Fee	or	<u>Other Than A</u> <u>Small Entity</u> Rate Fee
Basic Fee:				\$ 355	or	\$ 710
Total Claims:	131 -20=	x		111 x 9=999	or	x18=
Indep. Claims	3 - 3=	x		x40=	or	x80=
<input checked="" type="checkbox"/> Multiple Dependent Claims Presented				+ 135 =135	or	+ 270 =
Total: \$1,489.00						

\*If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

- a. ☒ A check for \$1,489.00 to cover the filing fee is enclosed. See paragraph 10a and 10d, below.
- b. ☐ Please charge Deposit Account No. 02-4467 in the amount of \$ \_\_\_\_\_. A duplicate copy of this paper is enclosed.
9. ☐ A Preliminary Amendment is enclosed.
- a. ☐ No additional fee is due.
- b. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the cost of additional claims is enclosed. See paragraph 10.a., below.
- c. ☐ Please charge our Deposit Account 02-4467 in the amount of \$ \_\_\_\_\_. A duplicate copy of this paper is enclosed.
10. ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this application or with recording any Assignment concerning it, or to credit any overpayment, to Deposit Account No. 02-4467, unless otherwise paid by check.
- a. ☒ If our check is missing or otherwise insufficient, or if any additional fees are required, the Commissioner is authorized to charge (or credit any overpayment) to Deposit Account No. 02-4467. A duplicate copy of this paper is enclosed.

- b. ☒ Any additional filing or other fees required under 37 CFR Section 1.16, including any fees for presentation of extra claims.
- c. ☒ Any patent application processing fees under 37 CFR Section 1.17.
- d. ☒ Any additional Assignment recording fees under 37 CFR Section 1.21(h).

Note: See 37 CFR § 1.311(b) regarding authorization to pay the issue fee from deposit account.

11. ☒ An Information Disclosure Statement is enclosed.

Respectfully submitted,

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of :

ROSS A. CAPUTO, ROBERT R. REICH, JR.,  
ROBERT J. THRASH, JIMMY FISHER, DAVOUD  
KHORZAD and THOMAS F. CULLEN

Filed Concurrently Herewith On July 9, 2001

For: **APPARATUS FOR TESTING  
STERILIZATION METHODS AND  
MATERIALS**

**CERTIFICATE OF EXPRESS MAILING**

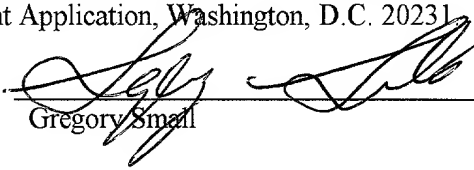
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Date of Deposit: July 9, 2001

I hereby certify that the following:

- ☒ This Certificate of Express Mailing
- ☒ Application Transmittal Letter in duplicate (3 pp.)
- ☒ A patent application consisting of 53 pages of abstract, specification and claims (53 pp.)
- ☒ Executed Declaration and Power of Attorney (3 pp.)
- ☒ 14 Sheets of Formal Drawings (14 pp.)
- ☒ Check in the amount of \$1,489.00 to cover filing fee
- ☒ Assignment Recordation Cover Sheet (Form PTO-1595) (1 p.)
- ☒ Executed Assignment (3 pp.)
- ☒ Check in the amount of \$40.00 to cover recording fee
- ☒ Information Disclosure Statement (8 pp.)
- ☒ PTO-1449 Forms to Information Disclosure Statement ("IDS") (6 pp.)
- ☒ Copies of U.S. Patents and Foreign Patents cited in IDS
- ☒ Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR Section 1.10 on the Date of Deposit indicated above in an envelope addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

  
Gregory Small

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